



IAP6 Rec'd PCT/PTO 13 NOV 2006

PATENT
6007/74506

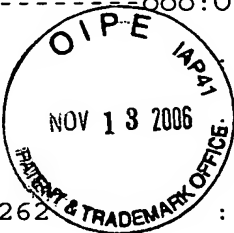
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Application of

Venkata Satya Nirogi
Ramakrishna et al.



Group Art Unit:

Serial No.: 10/539,262 : Examiner:

I.A. Filing Date: 12/16/2003 :

For: TETRACYCLIC 3-SUBSTITUTED :
INDOLES HAVING SEROTONIN
RECEPTOR AFFINITY :

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

William E. Pelton November 7, 2006
William E. Pelton Date
Reg. No. 25,702

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS
UNDER 35 U.S.C. 371 IN THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US), applicants hereby transmit (i) copy of the PTO Notice; (ii) executed Declaration and Power of Attorney; and (iii) check in the surcharge amount of \$130.00.

11/15/2006 ATRAN1 00000137 10539262

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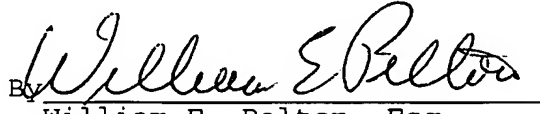
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PATENT
6007/74506

The Commissioner is authorized to charge any additional fees or to credit any overpayment, to our Deposit Account No. 03-3125.

Dated: November 7, 2006

Respectfully submitted,

By 
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WEP



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/539,262	Venkata Satya Nirogi Ramakrishna	6007/74506

23432
 COOPER & DUNHAM, LLP
 1185 AVENUE OF THE AMERICAS
 NEW YORK, NY 10036

File Missing Parts:

2 mo. 1/2/07
 3 mo. 2/2/07
 4 mo. 3/2/07
 5 mo. 4/2/07
 6 mo. 5/2/07
 7 mo. 6/2/07
an

INTERNATIONAL APPLICATION NO.

PCT/IN03/00393

I.A. FILING DATE

12/16/2003

PRIORITY DATE

12/18/2002

CONFIRMATION NO. 9286

371 FORMALITIES LETTER



OC000000020642132

Date Mailed: 11/01/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 06/16/2005
- Copy of the International Search Report filed on 06/16/2005
- Copy of IPE Report filed on 06/16/2005
- Preliminary Amendments filed on 06/16/2005
- Oath or Declaration filed on 06/16/2005
- Request for Immediate Examination filed on 06/16/2005
- U.S. Basic National Fees filed on 06/16/2005
- Priority Documents filed on 06/16/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.
<https://portal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

KAYA L LEWIS BALTIMORE

Telephone: (703) 308-9140 EXT 202

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/539,262	PCT/IN03/00393	6007/74506